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09/977,338	10/16/2001	Yoshiyuki Kamihara	110880	1638

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EXAMINER

MALEK, LEILA

ART UNIT	PAPER NUMBER
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2611

MAIL DATE	DELIVERY MODE
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10/17/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

09/977,338

Applicant(s)

KAMIHARA, YOSHIYUKI

Examiner

Leila Malek

Art Unit

2611

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 August 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,4,5,8-17,19,20,22,23,25,26,28,29,31,32,34 and 35 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,4,5,8-17,19,20,22,23,25,26,28,29,31,32,34 and 35 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 16 October 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)                        | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 08/14/2007 has been entered.

### *Response to Arguments*

2. Applicant's arguments filed on 08/14/2007 have been fully considered but they are not persuasive.

**Applicant's Argument:** Applicant argues, on page 9, lines 3-20, "Bazes is silent about the relationship between the number N of taps of the clock signals TAP0 to TAP15 and the setup and hold times of the flip-flops 54."

**Examiner's Response:** Examiner asserts that, Bazes discloses that there is a specified setup time,  $t_s$  and hold time  $t_h$ , for any clocked device (see column 5, lines 18-35).

Bazes further discloses that the control sample signals 46, 48, and 50 are needed in order to guarantee the set up and hold times of the L-type FLIP-FLOP 54. Bazes recites that the input data must be present and stable for at least,  $t_s$  before the clock transition and until at least  $t_h$  after it. Bazes further discloses that the interval between each SDL output transition is given by  $T_r/N$ , where  $T_r$  is the reference clock period and N is the number of SDL taps (interpreted as the number of clock signals) (see column 4, last paragraph and column 6, first paragraph (especially lines 1-3)). Therefore inherently

$T_r/N$  (i.e., the interval between each SDL transition) must be equal or greater than  $(t_s + t_h)$ , to meet the above underlined requirements. Hence  $N \leq [T/(T_s + T_h)]$ .

**Applicant's Argument:** Applicant argues, on page 10, lines 1-2, that the teachings of Bazes are in direct contradiction to the subject matter of the pending claims, because Bazes proposes increasing the number  $N$  of taps as much as possible in order to increase the resolution.

**Examiner's Response:** Examiner asserts that increasing or decreasing the number of clocks is not recited in the rejected claim(s). Therefore, the argument is moot.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 4, 5, and 8-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota et al. (hereafter, referred as Hirota) (US 6,567,484) and Tanabe et al. (hereafter, referred as Tanabe) (US 4,672,639), further in view of Bazes (US 4,975,702).

As to claim 1, Hirota discloses an apparatus comprising: an edge detection circuit (see Fig. 4, 23-2) detecting between which two clock edges a data edge is located (see Fig. 5 and column 3, lines 9-30), the two edges being among edges of first to  $N$ -th clocks having the same frequency but mutually different phases (see the abstract and column 2, lines 21-29); and a clock selection circuit which selects one clock (i.e. clock

Art Unit: 2611

#7) from among the first to N-th clocks (see column 3, lines 28-30), based on detection information from the edge detection circuit. Hirota does not disclose that the selected clock has been used as a sampling clock. Tanabe, in the same field of endeavor, discloses a sampling pulse generator, which receives a plurality of clock signals having the same frequency and phase differences (see the abstract). Tanabe further discloses an additional signal (interpreted as data signal) is also inputted to the edge detector (see Fig. 1), wherein phase relationship data are constructed and assembled and an optimum one of the clock signals is selected and outputted based on the phase data as the recovered sampling clock (see the abstract and column 3, lines 30-45, and see column 1, lines 10-16). It would have been obvious to one of ordinary skill in the art at the time of invention to use the optimum clock selected from a plurality of clocks (i.e. the clock which samples the data in the middle) as the sampling clock, in order to sample the data correctly and therefore more accurately recognize the incoming data (see column 1, lines 62 to column 2, line 9). Tanabe further discloses that the edge detection circuit comprises: a first holding circuit (see Fig. 3, e.g. 310) which holds data (CRS interpreted as data) by using the first clock (CK0), . . . a J-th holding circuit (see Fig. 3, e.g. 314), which holds data by using a J-th clock (CK4), . . . and an N-th holding circuit (see Fig. 3, e.g. 317) which holds data by using the N-th clock (CK7); and a first detection circuit (e.g. AN0) which detects whether or not there is a data edge between the edges of the first clock and a second clock, based on data held in the first holding circuit and a second holding circuit, . . . a J-th detection circuit (e.g. AN4) which detects whether or not there is a data edge between the edges of the J-th clock (CK4) and a

Art Unit: 2611

(J+1)-th clock (CK5), based on data held in the J-th holding circuit (314) and a (J+1)-th holding circuit (315), . . . and an N-th detection circuit (AN7) which detects whether or not there is a data edge between the edges of the N-th clock (CK7) and the first clock (CK0), based on data held in the N-th (317) and first (310) holding circuits, and wherein the clock selection circuit 35 selects a clock from among the first to N-th clocks, based on edge detection information from the first to N-th detection circuits, and outputs the selected clock as the sampling clock. It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota as suggested by Tanabe to accurately determine the edge of the data (see column 4, lines 10-24) and find the optimum sampling clock. Hirota and Tanabe are silent in disclosing that there is a set-up time and a holding time associated with first to N-th holding circuits. Bazes discloses that there is a specified setup time,  $t_s$  and hold time  $t_h$ , for any clocked device (see column 5, lines 18-35). Bazes discloses that the setup time requirement of the flip-flop is met if the signal has stable for at least the setup time before the next transition of the SDL tap, and similarly, the hold time requirement of the flip-flop is met if the signal has been stable for at least the hold time after the transition of the SDL tap (see column 5, lines 18-35). Bazes further discloses that the interval between each SDL output transition is given by  $T_r/N$ , where  $T_r$  is the reference clock period and N is the number of SDL taps (interpreted as the number of clock signals) (see column 4, last paragraph and column 6, first paragraph). Therefore inherently  $T_r/N$  (i.e., the interval between each SDL transition) must be equal or greater than  $(t_s + t_h)$ , to meet the above requirements. Hence  $N \leq [T_r/(T_s + T_h)]$ . It would have been obvious to one of ordinary skill in the art at

the time of invention to modify Hirota and Tanabe as suggested by Bazes to accurately determine the location of the transitions in the digitized waveform (see column 6, first paragraph).

As to claim 4, Bazes discloses that the number of clocks  $N$  is such that  $N = \lceil T / (T_s + T_h) \rceil$  (see rejection of claim 1).

As to claim 5, Hirota, Tanabe, and Bazes, disclose all the subject matters claimed in claim 1, except that the number of clocks  $N$  of the first to  $N$ -th clocks is such that  $N=5$ . However, since Applicant does not disclose any advantage for using 5 clocks in the system, therefore, it is a matter of design choice to sample the signal with 5 clocks and it would have been obvious to one of ordinary skill in the art at the time of invention to use 5 clocks in the system to sample the signal to meet the system requirements.

As to claim 8, Hirota discloses that the clock selection circuit selects from the first to  $N$ -th clocks a clock having an edge that is shifted by a given set number  $M$  (e. g. 3 to obtain clock #7) of edges from a data edge (see Fig. 5).

As to claim 9, Hirota, Tanabe, and Bazes are silent in disclosing that the number  $M$  is set to a number that ensures a set-up time and a hold time of a circuit which holds data based on the generated sampling clock. However, it would have been obvious to select the optimal clock in a place that ensures a set-up time and a hold time of a circuit, which holds data based on the generated sampling clock to accurately determine the location of the transitions in the digitized waveform (see column 6, first paragraph).

As to claim 10, Hirota discloses an apparatus comprising: an edge detection circuit (see Fig. 4, 23-2) detecting between which two clock edges a data edge is located (see Fig. 5 and column 3, lines 9-30), the two edges being among edges of first to N-th clocks having the same frequency but mutually different phases (see the abstract and column 2, lines 21-29); and a clock selection circuit which selects one clock (i.e. clock #7) from among the first to N-th clocks (see column 3, lines 28-30), based on detection information from the edge detection circuit. Hirota discloses all the subject matters claimed in claim 10, except that the selected clocks has been used as a sampling clock. Tanabe, in the same field of endeavor, discloses a sampling pulse generator, which receives a plurality of clock signals having the same frequency and phase differences. Tanabe further discloses an additional signal (interpreted as data signal) is also inputted to the edge detector (see Fig. 1), wherein phase relationship data are constructed and assembled and an optimum one of the clock signals is selected and outputted based on the phase data as the recovered sampling signal (see the abstract and column 3, lines 30-45, and see column 1, lines 10-16). It would have been obvious to one of ordinary skill in the art at the time of invention to use the optimum clock selected from a plurality of clocks (i.e. the clock which samples the data in the middle) as the sampling clock, in order to sample the data correctly and therefore more accurately recognize the incoming data (see column 1, lines 62 to column 2, line 9). Tanabe further discloses that the edge detection circuit comprises: a first holding circuit (see Fig. 3, e.g. 310) which holds data (CRS interpreted as data) by using the first clock (CK0), . . . a J-th holding circuit (see Fig. 3, e.g. 314), which holds data by



using a J-th clock (CK4), . . . and an N-th holding circuit (see Fig. 3, e.g. 317) which holds data by using the N-th clock (CK7). It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota as suggested by Tanabe to accurately determine the edge of the data (see column 4, lines 10-24) and find the optimum sampling clock. As to the last limitation of claim 10, Hirota and Tanabe are silent in disclosing that there is a set-up time and a holding time associated with first to N-th holding circuits. Bazes discloses that there is a specified setup time,  $t_s$  and hold time  $t_h$ , for any clocked device (see column 5, lines 18-35). Bazes discloses that the setup time requirement of the flip-flop is met if the signal has stable for at least the setup time before the next transition of the SDL tap, and similarly, the hold time requirement of the flip-flop is met if the signal has been stable for at least the hold time after the transition of the SDL tap (see column 5, lines 18-35). Bazes further discloses that the interval between each SDL output transition is given by  $T_r/N$ , where  $T_r$  is the reference clock period and N is the number of SDL taps (interpreted as the number of clock signals) (see column 4, last paragraph and column 6, first paragraph). Therefore inherently  $T_r/N$  (i.e., the interval between each SDL transition) must be equal or greater than  $(t_s + t_h)$ , to meet the above requirements. Hence  $N \leq [T/(T_s+T_h)]$ . It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota and Tanabe as suggested by Bazes to accurately determine the location of the transitions in the digitized waveform (see column 6, first paragraph).

As to claim 11, Bazes discloses that the number of clocks N is such that  $N=[T/(T_s+T_h)]$  (see rejection of claim 10).

As to claims 12 and 13, Hirota, Tanabe, and Bazes disclose all the subject matters claimed in claim 10 and 11, except that the number of clocks N of the first to N-th clocks is such that  $N=5$ . However, since Applicant does not disclose any advantage for using 5 clocks in the system therefore, it is a matter of design choice to sample the signal with 5 clocks and it would have been obvious to one of ordinary skill in the art at the time of invention to use 5 clocks in the system to sample the signal to meet the system requirements.

As to claim 14, Hirota discloses that the clock selection circuit selects from the first to N-th clocks a clock having an edge that is shifted by a given set number M (e. g. 3 to obtain clock #7) of edges from a data edge (see Fig. 5).

As to claim 15, Hirota, Tanabe, and Bazes are silent in disclosing that the number M is set to a number that ensures a set-up time and a hold time of a circuit which holds data based on the generated sampling clock. However, it would have been obvious to select the optimal clock in a place that ensures a set-up time and a hold time of a circuit, which holds data based on the generated sampling clock to accurately determine the location of the transitions in the digitized waveform (see column 6, first paragraph).

4. Claims 16, 17, 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota, Tanabe, and Bazes, further in view of Yamauchi et al. (hereafter, referred as Yamauchi) (US 5,517,155).

As to claims 16 and 17, Hirota, Tanabe, and Bazes disclose all the subject matters claimed in claims 1 and 10, except that sampling clock generation circuit,

further comprises: a PLL circuit having an oscillation circuit with a variably-controlled oscillation frequency, and phase-synchronizing a clock generated by the oscillation circuit with a base clock, wherein the first to N-th clocks is generated based on outputs of first to N-th inversion circuits of an odd number of stages included in the oscillation circuit. Yamauchi, in the same field of endeavor, discloses a PLL circuit (see Fig. 2) having an oscillation circuit (block 6) with a variably-controlled oscillation frequency, and phase-synchronizing a clock generated by the oscillation circuit with a base clock (see column 6, last paragraph and column 7, first paragraph), wherein the first to N-th clocks is generated based on outputs of first to N-th inversion circuits of an odd number of stages included in the oscillation circuit (see Fig. 7, column 22, paragraphs 2-3 and column 23, last paragraph). It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota, Tanabe, and Bazes, as suggested by Yamauchi to accurately find the optimal clock synchronized with the clock of the transmitter suitable for sampling the incoming data signal.

As to claims 19 and 20, Yamauchi further discloses that at least one of a disposition of the first to N-th inversion circuits and interconnection of output lines of the first to N-th inversion circuits is performed in such a manner that phase differences between the first to N-th clocks are equal (see column 23, last paragraph).

5. Claims 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota, Tanabe, and Yamauchi, further in view of Wendelrup (US 5,796,360).

As to claims 22 and 23, Hirota, Tanabe, Bazes, and Yamauchi are silent in discloses that the lines for the first to N-th clocks are interconnected in such a manner

that the parasitic capacitances of lines of the first to N-th clocks are equal. Wendelrup, in the same field of endeavor discloses a controlled clock generator (see column 1, lines 8 and 9). Wendelrup further discloses that in a design for implementation on a CMOS integrated circuit, one must ensure that all of the output nodes of the logic circuitry 907 have equal parasitic capacitances (see column 7, last paragraph and Fig. 9). It would have been obvious to one of ordinary skill in the art at the time of invention to Modify Hirota, Tanabe, Bazes, and Yamauchi as suggested by Wendelrup in order to have uniform delays introduced for each of the phase clocks (See column 7, last paragraph).

6. Claims 25, 26, 31, and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota, Tanabe, and Bazes, further in view of Fujimori et al. (hereafter, referred as Fujimori) (US 6,477,181).

As to claim 25, Hirota, Tanabe, and Bazes disclose all the subject matters claimed in claim 25 (for the sampling clock generation circuit see the rejection of claim 1) except for a circuit which holds data, based on the sampling clock generated by the sampling clock generation circuit, and performs given processing for data transfer, based on the held data. Fujimori discloses a data communication apparatus (see Fig. 6) comprising a sampling clock generator 42 and a sound I/O 41 which writes the audio data into its internal output FIFO buffer and then reads out the data from the output FIFO buffer in accordance with the sampling pulses to transfer the read-out data to the DAC for digital-to-analog conversion (see column 6, last paragraph). It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota,

Tanabe, and Bazes as suggested by Fujimori to improve the data communication system (see column 27, lines 16-25).

As to claim 26, Hirota, Tanabe, and Bazes disclose all the subject matters claimed in claim 26 (for the sampling clock generation circuit see the rejection of claim 10) except for a circuit which holds data, based on the sampling clock generated by the sampling clock generation circuit, and performs given processing for data transfer, based on the held data. Fujimori discloses a data communication apparatus (See Fig. 6) comprising a sampling clock generator 42 and a sound I/O 41 which writes the audio data into its internal output FIFO buffer and then reads out the data from the output FIFO buffer in accordance with the sampling pulses to transfer the read-out data to the DAC for digital-to-analog conversion (see column 6, last paragraph). It would have been obvious to one of ordinary skill in the art at the time of invention to modify Hirota Tanabe, and Bazes as suggested by Fujimori to improve the data communication system (see column 27, lines 16-25).

As to claims 31 and 32, Fujimori further shows a device, which performs storage processing (see Fig. 6, 31-33) on data transferred through the data transfer control device (see Fig. 6, 41 and 42) and the bus 44.

7. Claims 28, 29, 34, and 35, are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota, Tanabe, Bazes, and Fujimori, further in view of Applicant's admitted prior art (Applicant's background of invention).

As to claims 28 and 29, Fujimori discloses that the data has been transferred by using bus 44 in the system (see Fig. 6). However, Fujimori does not disclose data

Art Unit: 2611

transfer is in accordance with the Universal Serial Bus (USB) standard. Applicant in the background of invention discloses that the USB standard has the advantage of enabling the use of connectors of the same standard to connect peripheral equipment such as a mouse, keyboard, and printer, which are connected by connectors of different standards, and of making it possible to implement plug-and-play and hot-plug features (see page 1). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to use the USB standard for the reasons stated above.

As to claims 34 and 35, Fujimori further shows a device, which performs storage processing (see Fig. 6, 31-33) on data transferred through the data transfer control device (see Fig. 6, 41 and 42) and the bus 44.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leila Malek whose telephone number is 571-272-8731. The examiner can normally be reached on 9AM-5:30PM.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mohammad Ghayour can be reached on 571-272-3021. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2611

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Leila Malek  
Examiner  
Art Unit 2611

L.M.

  
**MOHAMMED GHAYOUR**  
**SUPERVISORY PATENT EXAMINER**